

Department of the Interior U.S. Fish & Wildlife Service

Expires 03/31/2004 OMB No. 1018-0093

Federal Fish and Wildlife License/Permit Application Form

Return to

Division of Management Authority U.S. Fish and Wildlife Service 4401 N. Fairfax Drive, Room 700 Arlington, VA 22203 1-800-358-2104 or 703-358-2104 **Type of Activity:**

Import/Export of Personal Pets
(CITES and/or Wild Bird Conservation Act)

| Α. | | | Complete | e ii appiyi | <u>ng as an n</u> | <u>iaiviau</u> a | 11 | | | | |
|--|--|-----------------------------------|--|-----------------------------|---------------------|-------------------------------|---|---|---------------|----------|--|
| 1.a. Last name: | | | | 1.b. First name: | | | | 1.c. Middle name or initial: | | | |
| 1.e Doing business as (dba): | 2.a. Street | 2.a. Street Address (line 1): | | | | 2.b. Street Address (line 2): | | | | | |
| 2.c. Street address (line 3): 3.a. Ci | | . City: | | | 3.b. County: | | 1 | 3.c. Province: | 3.d. Sta | nte: | |
| 3.e. Zip code or postal code: 3.f. Country (only for | | for non-commercial): 4. Date of b | | | oirth (mm/dd/yyyy): | | | 5. Social Security No: | | | |
| 6. Occupation: | 7. List of any busing license or permit: | ness, agency | , organization | nal, or institu | tional affiliat | ion associa | ated with th | he wildlife or plants to | be covered | by this | |
| B. Home telephone number: 9. Work telephone number: | | | 10. Fax number: | | | | 11. E-mail address: | | | | |
| В. | Complete if | f applying | as a busi | ness, corp | oration, p | ublic age | ency or | institution | | | |
| 1.a. Nam of business, agency, or inst | itution: | | 1.b. Doing | g business as | (dba): | | | 2. Tax ident | ification no. | : | |
| 3.a. Street address (line 1): | 3.b. Stre | 3.b. Street address (line 2): | | | | 3.c. Street address (line 3): | | | | | |
| 4.a. City: 4.b. County | | | ry 4.c | | | 4.c. State | e: | 4.d. Zip code: | | | |
| 5.a. Principal officer - Last name: 5 | | | 5.b. First name: | | | 5.c.] | 5.c. Middle name or initial 5.d. Suffix | | | Suffix | |
| 5.e. Principal officer title: | | 6. Descr | ibe the type o | of business, a | gency, or inst | itution: | | | | | |
| 7. Home telephone number: 8. Work telephone number: | | | 9. Fax number: | | | 10. I | 10. E-mail address: | | | | |
| C. | | | All app | olicants co | mplete | | | | | | |
| Do you currently have or have | you had any Federal | Fish and Wil | dlife License | e or Permit? | | | | | | | |
| | number of the most rec | | | | <u> </u> | | | No | | | |
| 2. Have you obtained any require | | ** | roval to cond | tuct the activi | ty you propo | se'? | | | | | |
| Yes If yes, provide a copy of the license or permit. 3. Attachments: Complete the additional pages of this applicat | | | No N | | | | | out these pages. Incomplete applications may be | | | |
| returned. | | | 11 | | | • | out tift | | apprications | , muy UC | |
| Enclose check or money order Institutions which qualify under | er 50 CFR 13.11(d)(3) |) may be exe | mpt from fee | es. | | | | | | | |
| 5. Certification: I hereby certify applicable parts in subchapter accurate to the best of my known | B of Chapter I of Title wledge and belief. I un | e 50, and I fu nderstand tha | arther certify at any false st | that the infortatement here | mation submi | itted in this | s applicatio | on for a license or per | mit is comple | | |
| 6. Signature (in ink) of applicant | or person responsible | for permit in | Block A or | В | | | | 7. Date (mm/dd/yyy | yy): | | |

D. IMPORT/EXPORT OF PERSONAL PETS (CITES and/or Wild Bird Conservation Act)

| 1. | State whether you are requesting authorization to: | IMPORT your pet into the United States; o |
|----|--|--|
| | | EXPORT your pet from the United States. |

- 2. For EACH pet to be imported or exported provide the following on a separate sheet of paper:
 - a. Common Name;
 - b. Scientific Name (genus, species, subspecies);
 - c. Quantity;
 - d. Birth or hatch date;
 - e. Sex, if known;
 - f. Description (e.g., tattoo#, band #, other identifying features, house name);
 - g. Source (wild or captive-hatched);
 - h. Country where the animal was acquired; and,
 - i. Current location of specimen.
- 3. Document the origin of your pet.
 - a. If your pet was **bred in captivity** provide:
 - i. A signed breeder's statement or statement with name and address of breeder, date of sale or transfer, species and birth/hatch date:
 - ii. Copies of any foreign or U.S. CITES permit(s) under which the pet(s) was previously exported or re-exported; and,
 - iii. If you are unable to provide the information listed in 3.a.1 and 3.a.2, please provide all the information you know about the origin of the pet(s) and a description of the circumstances under which you acquired the pet (i.e. where, when, from whom, etc.) on an attached sheet.
 - b. If your pet **originated in the wild**, provide:
 - i. The country of origin;
 - ii. The date the animal was removed from the wild or acquired by you;
 - iii. Copies of any CITES permit(s) under which the pet(s) was previously exported or re-exported;
 - iv. Copies of the cleared wildlife Declaration For Import (Form 3-177); and
 - v. If you are unable to provide the information listed in 3.b.2 and 3.b.3, please provide all the information you know about the origin of the pet(s) and a description of the circumstances under which you acquired the pet (i.e. where, when, from whom, etc.) on an attached sheet.
 - c. If you **do not know** the origin of your pet(s), please provide a complete description of the circumstances under which you acquired the pet(s) (i.e., where, when, from whom, etc.) on an attached sheet.
- 4. Document the transportation arrangements for your pet.
 - a. Describe the type, size, and construction of the shipping containers; and
 - b. Describe the arrangements for watering and otherwise caring for the pet during transportation.
- 5. Provide your foreign address, including country.
- 6. List the **U.S. port** through which the import will occur. If no port is indicated, import must be through a designated port for wildlife (see enclosed list).
- 7. If different from the address on page 1, please provide the address where you want the permit mailed, if issued.

FOR IMPORT OF BIRDS ONLY, complete the following:

8. Provide documentation to show you have continually resided outside of the United States for at least one (1) year.

Applicant's signature: _____ Date: _____

9. Provide the number of exotic birds have you imported as personal pets in the last 12 months.

| understand that the purpose of activities for which wildlife is to be imported/export is for personally owned pets not intended for sal | e. |
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PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard License/Permit Form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service permit. The General Permit Procedures in 50 CFR 13 address the permitting process.

- * Complete all appropriate blocks/lines. Print clearly or type in the information. A complete application prevents delays!
- * Sign the application *in ink* and send an *original* to the address on the top of the application. Faxed copies will not be accepted.
- * Applications will be processed in the order they are received.

Most of the application form is self-explanatory, but the following provides some further assistance for completing the form.

COMPLETE EITHER BLOCK A OR BLOCK B:

- Block A. "Complete if applying as an individual" Enter the complete name of the responsible party who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. All blocks must be completed. If you are applying on behalf of a client, the personal information must pertain to the client. A notarized document stating power of attorney must be included with the application.
- Block B. "Complete if applying as a business, corporation, public agency or institution" Enter the complete name and address of the business, agency or institution who will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in, the name and phone number of the person in charge, and if the company is incorporated, the state in which it was incorporated.

ALL APPLICANTS COMPLETE BLOCK C:

- Block C.1 "Do you currently have or have you had any Federal Fish and Wildlife license or permit?" List the number of any FWS or CITES permits. If applying for a renewal, the original permit must be returned with this application.
- Block C.2 "Have you obtained any required state or foreign government approval to conduct the activity you propose?" If the proposed activity is regulated, check the appropriate box. If "yes," list the State or foreign countries involved and type of document required. Include a copy of these documents with the application. If "no" indicate what steps you have taken to secure approval (use attachment if necessary). If the proposed activity is not regulated check "not required".
- Block C.3 "Attachments" Consult the fact sheet or regulation. Provide any required additional information outlined on the supplemental page(s) of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application. An incomplete or unclear application may cause delays in processing.
- Block C.4 "Check or money order (if applicable)" There is a permit processing fee unless you are fee exempt. Consult the enclosed APPLICATION PROCESSING FEE information. Make the check or money order payable to the "U.S. Fish and Wildlife Service" and attach it to the application form. If you are fee exempt, write "exempt" in this space.
- Block C.5 "CERTIFICATION" The individual applicant in Block A, the person named in Block B, or person with power of attorney must sign and date the application in ink. This signature binds that person to the statement of certification. This means that you certify that you read and understood the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application before signing.

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APPLICATION FOR A FEDERAL FISH AND WILDLIFE LICENSE/PERMIT

Paperwork Reduction Act and the Privacy Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised that:

- 1. The gathering of information on fish and wildlife is authorized by:
 - Bald Eagle Protection Act (16 U.S.C. 663a);
 - Endangered Species Act of 1973 (16 U.S.C. 1539); (b)
 - Migratory Bird Treaty Act (16 U.S.C. 703-711); (c)
 - (d) Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383);
 - Wild Bird Conservation Act (16 U.S.C. 4901-4916); (e)
 - Lacey Act (18 U.S.C. 42 & 44); (f)
 - Convention on International Trade in Endangered Species of Wild Flora and Fauna (TIAS 8249); (g)
 - Title 50, Part 10, of the Code of Federal Regulations; (h)
 - Title 50, Part 13, of the Code of Federal Regulations (i)
 - Title 50, Part 14, of the Code of Federal Regulations; (i)
 - Title 50, Part 15, of the Code of Federal Regulations; (k)
 - Title 50, Part 17, of the Code of Federal Regulations; (1)
 - Title 50, Part 21, of the Code of Federal Regulations; (m) Title 50, Part 22, of the Code of Federal Regulations; and
 - Title 50, Part 23, of the Code of Federal Regulations. (o)
- 2. Information requested is this form is purely voluntary. However, submission of requested information is required in order to process applications for licenses or permits authorized under the above acts. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny a permit. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed.
- Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383) will be published in the Federal Register as required by the two acts.
- Routine use disclosures may also be made:

(n)

- To the U.S. Department of Justice when related to litigation or anticipated litigation;
- Of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local (b) or foreign agencies responsible for investigation or prosecuting the violation or for enforcing or implementing the statute, rule, regulations, order or license;
- (c) From the record of an individual in response to an inquiry from a Congressional office made at the request of that individual (42 FR 1903; April 11, 1977);
- (d) To subject matter experts, and State and other Federal agencies, for the sole purpose of obtaining advice relevant to issuance of the permit.
- 5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
- The public burden for this information collection varies depending on the activity for which a permit is requested. The relevant burden for Import/Export of Personal Pets (CITES and/or Wild Bird Conservation Act) applications is 30 minutes. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act - Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

APPLICATION PROCESSING FEE

The fee to process an Import/Export of Personal Pets (CITES and/or Wild Bird Conservation Act) application is \$25.00. Checks should be made payable to "U.S. Fish and Wildlife Service." The fee applies to new permit applications, renewals, and amendments. The processing fee shall not be refunded if the permit is issued or denied, or if the application is abandoned. The fee schedule does not apply to any Federal, State, or local government agency or individual or institution under contract to such agency for the proposed activities. Until further notice, the fee will be waived for public institutions. As defined in 50 CFR 10.12 - "Public as used in referring to museums, zoological parks, and scientific or educational institutions, refers to such as are open to the general public and are either established, maintained, and operated as a governmental service or are privately endowed and organized but not operated for profit."

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